

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
53868US05

In Re Application Of: **Jathan D. Edwards and Donald J. Kerfeld**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/790,965	03/02/2004			1756	2139

Title: **REVERSE OPTICAL MASTERING FOR DATA STORAGE DISK STAMPER**



Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR**
  - the fee set forth in 37 CFR 1.17(p).

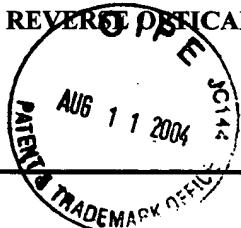
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**REVERSE OPTICAL MASTERING FOR DATA STORAGE DISK STAMPER**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.

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Eric D. Levinson

Typed or Printed Name of Person Mailing Certificate

Dated: **8/9/4**

Eric D. Levinson, Reg. No. 35,814

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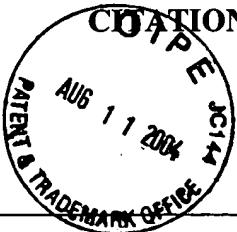
P.O. Box 64898

St. Paul, Minnesota 55164-0898

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CC:

<b>INFORMATION DISCLOSURE CITATION</b> 	Atty. Docket No.: 53868US05	Serial No.: 10/790,965
	Applicant(s): Jathan D. Edwards and Donald J. Kersfeld	
	Filing Date: 03/02/2004	Group: 1756

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		3,565,978	02/71	Folger et al.			
		4,150,398	04/79	Kojima et al.			
		4,304,806	12/81	Anderson et al.			
		4,308,337	12/81	Roach et al.			
		4,374,077	02/83	Kersfeld			
		4,519,065	05/85	Lewis et al.			
		4,619,804	10/86	Leonard et al.			
		4,650,735	03/87	De Laat			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		0 418 897 A2	03/91	European Pat. Office				
		0 444 367 A1	09/91	European Pat. Office				
		DE 41 40 712 A1	10/92	Germany			Abstract Only	
		60-029950	02/85	Japan			Abstract Only	
		64-023440	01/89	Japan			X	

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

		F. Dill et al., "Characterization of Positive Photoresist," IEEE Transactions on Electron Devices, Vol. ED-22, No. 7, July 1975, pp. 445-452
		E. O. Keizer, "VideoDisc Mastering," RCA Review, Vol. 39, No. 1, March 1978, pp. 60-86
		P. Trefonas III et al., "New Principle for Image Enhancement in Single Layer Positive Photoresists," SPIE Vol. 771, <i>Advances in Resist Technology and Processing IV</i> , March 1987, pp. 194-210
		S. Nakamura et al., "High Density Recording for Magneto-optical Disk Drive," IEEE Transactions on Magnetics, Vol. 34, No. 2, March 1998, pp. 411-413

**EXAMINER****Date Considered**

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>	Atty. Docket No.: 53868US05		Serial No.: 10/790,965
	Applicant(s): Jathan D. Edwards and Donald J. Kerfeld		
	Filing Date: 03/02/2004		Group: 1756

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,096,563	03/92	Yoshizawa et al.			
		5,149,607	09/92	De Graaf et al.			
		5,325,353	06/94	Sasaki et al.			
		5,381,394	01/95	Yanagawa			
		5,436,885	07/95	Okumura et al.			
		5,516,469	05/96	Santoh et al.			
		5,576,918	11/96	Bar-Gadda et al.			
		5,581,539	12/96	Horie et al.			
		5,626,941	05/97	Ouano			
		5,635,267	06/97	Yamada et al.			
		5,701,288	12/97	Seong			
		5,723,033	03/98	Weiss			
		5,739,972	04/98	Smith et al.			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		2-10536	01/90	Japan			X	
		2-150325	06/90	Japan			Abstract Only	
		2-244440	09/90	Japan			X	
		6-60441	03/94	Japan			X	
		59-193560	11/94	Japan			Abstract Only	
		8-147768	06/96	Japan			X	

**EXAMINER**

Date Considered

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<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate	
		5,751,510	05/98	Smith et al.				
		5,763,037	06/98	Ohtomo et al.				
		5,889,756	03/99	Ichihara et al.				
		5,949,587	09/99	Takamizawa et al.				
		6,183,829	02/01	Daecher et al.				
		6,190,838	02/01	Kerfeld				
		6,324,139	11/01	Nakane				
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
		08-306080	11/96	Japan			X	
		97097452	04/97	Japan			X	
		97138981	05/97	Japan			X	
		WO 00/48172	08/00	WIPO				
<b>OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)</b>								
<b>EXAMINER</b>				<b>Date Considered</b>				
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